



# CERTIFICATE OF MAILING

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September 26, 2002

Date of Deposit

K. Shannon Mrksich, Ph.D.

Name of Applicant, Assignee or  
Registered Representative

*S. Mrksich*

Signature

September 26, 2002

Date of Signature

#11  
M.G.J.  
11/6/02

Our Case No.: 7814/42

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Milan Mrksich et al.

Serial No.: 09/689,263

Filing Date: October 11, 2000

For: SURFACE MODIFYING  
COMPOSITION

Examiner: Naff, David. M.

Group Art Unit No.: 1651

## FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

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The references now cited are the following:

**FOREIGN PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |  | DOCUMENT<br>NUMBER  | DATE     | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES | NO |
|---------------------|--|---|----------|---------|--------------------|--------------------|----|
|                     | A1   | 06192058  | 12/07/94 | JAPAN   |                    | X                  |    |
|                     | A2   | P 36 30184.1  | 12/03/87 | GERMANY |                    |                    | X  |
| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |   |          |         |                    |                    |    |
|                     | A3   | Self-Assembled Monolayers of Alkanethiolates Presenting Mannitol Groups Are Inert to Protein Adsorption and Cell Attachment, Yan-Yeung Luk et al., Dept. of Chemistry, U. of Chicago, 6/29/00 |          |         |                    |                    |    |

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant(s) hereby certify that each item of the information disclosed in this First Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement, as evidenced by the date of the enclosed search report.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

*S. Mrksich*

K. Shannon Mrksich, Ph.D.  
Registration No. 36,675  
Attorney for Applicant(s)

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| TRANSMITTAL LETTER                                  |                                 |                            | Case No. 7814/42       |
|---|---------------------------------|----------------------------|------------------------|
| Serial No.<br>09099,263                             | Filing Date<br>October 11, 2000 | Examiner<br>Naff, David M. | Group Art Unit<br>1651 |
| Inventor(s)<br>MILAN MRKSICH ET AL.                 |                                 |                            |                        |
| Title of Invention<br>SURFACE MODIFYING COMPOSITION |                                 |                            |                        |

TO THE COMMISSIONER FOR PATENTS

- ☒ Transmitted herewith is First Supplemental Information Disclosure Statement, PTO Form 1449, Cited References, Search Report Dated 6/26/02, Intl. App. No. PCT/US01/32126.
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

|   |                                  |       |                                 | Small Entity  |                 | or        | Other Than Small Entity |           |
|---|----------------------------------|-------|---------------------------------|---------------|-----------------|-----------|-------------------------|-----------|
|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra | Rate            | Add'l Fee | Rate                    | Add'l Fee |
| Total                                     |                                  | Minus |                                 |               | x \$9=          |           | x \$18=                 |           |
| Indep.                                    |                                  | Minus |                                 |               | x 42=           |           | x \$84=                 |           |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               | +\$140=         |           | +\$280=                 |           |
|   |                                  |       |                                 |               | Total add'l fee | \$        | Total add'l fee         | \$        |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*S. Mrksich*

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